




United Behavioral Health

Co-Occurring Disorder Screening and Assessment		Policy Identifier/Number: CM-16	
Annual Review Completed Date: February 2018			
Policy Category: Government - Pierce Regional Support Network	Applicable Lines of Business: Medicaid	Entity/Plan: Optum Pierce Behavioral Health Organization	State: Pierce County, Washington
Approved by: Bea Dixon, Executive Director		Signature: 	

Policy Statement and Purpose

- Optum Pierce Behavioral Health Organization (BHO) and its contracted providers use the *Global Appraisal of Individual Needs – Short Screener (GAIN-SS)*, provided by the Washington State Department of Social and Health Services (DSHS) at <https://www.dshs.wa.gov/fsa/forms> as the standard assessment and screening tool at all intake and assessment sites, as required by DSHS. The requirement includes an integrated assessment and treatment process for those with a positive screen for the possibility of a co-occurring disorder.
- Optum Pierce BHO monitors compliance with provisions of this Policy through its annual provider review.

To accurately and consistently screen for chemical dependency disorders in all Pierce County BHO-supported intake and assessment sites and to ensure a co-occurring mental health and chemical dependency disorder assessment is completed, when required, to determine quadrant placement.

Policy Audience and Applicability

This policy is applicable to the Optum Pierce Behavioral Health Organization (BHO) and benefits administered through the Washington State Department of Social and Health Services (DSHS) current Prepaid Inpatient Health Plan (PIHP).

Policy Definitions

N/A

Policy Provisions

1. Providers are required to attempt to screen all individuals aged 13 and older through the use of the *GAIN-SS* during:
 - 1.1. All new intakes/re-admits/at the next 180-day review for enrolled children who turn age 13;

Optum is responsible for adhering to all applicable state and/or federal laws governing activities within the scope of this policy, including the Mental Health Parity and Addiction Equity Act (MHPAEA) and the Health Insurance Portability and Accountability Act (HIPAA) privacy requirements, as well as the applicable requirements, standards and regulations as set forth by the Employee Retirement Income Security Act (ERISA), the Center for Medicare and Medicaid Services (CMS), the Department of Labor (DoL), and any applicable accrediting organizations.

- 1.2. The provision of each crisis service including *Involuntary Treatment Act (ITA)* investigations and Evaluation and Treatment Facilities (E&T) except when:
 - 1.2.1. The service results in a referral for an intake assessment;
 - 1.2.2. The service results in an involuntary detention under *RCW 71.05, 71.34, or RCW 70.96 B*;
 - 1.2.3. The contact is by telephone only; or
 - 1.2.4. The professional conducting the crisis intervention or ITA investigation has information that the individual has completed a *GAIN-SS* screening within the previous 12 months.
2. The *GAIN-SS* self-report screening tool is to be completed and signed by the individual in services. If the individual declines to complete the *GAIN-SS* screening, or if the clinician determines the individual is unable to complete the screening for any reason, this is to be documented on the DSHS *GAIN-SS* form.
 - 2.1. The results of the *GAIN-SS* screening, including “refusals” and “unable to completes,” are to be reported by mental health care providers to DSHS through the Consumer Information System (CIS).
 - 2.2. The behavioral health care provider is to complete the co-occurring mental health and substance use disorder assessment, consistent with training provided by DSHS and outlined in the *Substance Abuse and Mental Health Services Administration (SAMHSA) Treatment Protocol 42*, to determine a quadrant placement for the individual when the individual scores a “2” or higher on either of the first two scales and a “2” or higher on the third scale.
3. The *GAIN-SS* assessment is to be completed within 30 days of the initial outpatient intake assessment. The *GAIN-SS* assessment is not required during crisis interventions or ITA investigations.
 - 3.1. The quadrant placements are defined as:
 - 3.1.1. Less severe mental health disorder/less severe substance disorder;
 - 3.1.2. More severe mental health disorder/less severe substance disorder;
 - 3.1.3. Less severe mental health disorder/more severe substance disorder; and
 - 3.1.4. More severe mental health disorder/more severe substance disorder.
4. The quadrant placement is to be reported by the mental health care provider to the DSHS through the CIS system.
5. The *GAIN-SS* assessment is to be repeated at discharge, with scores reported to the Behavioral Health Data Store.

Related Policies, Procedures & Materials

- Pierce Behavioral Health Organization policy: CM-09 - *Engagement of Community Resources*

Attachments

N/A

Approval History

- Policy created and effective: 07/2009
- Policy and Procedure Committee review and approval: 09/28/2009
- Policy and Procedure Committee review and approval: 08/23/2010

- Policy and Procedure Committee review and approval: 09/26/2011
- Policy and Procedure Committee review and approval: 08/27/2012
- Policy and Procedure Committee review and approval: 12/02/2013
- Policy and Procedure Committee review and approval: 09/22/2014
- Policy and Procedure Committee review and approval: 09/28/2015
- Optum Pierce BHO reviewed and accepted: February 2018